

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Applicant:

Joshua T. Goodman, et al.

Serial No.:

10/779,295

Filed:

February 13, 2004

For:

INTELLIGENT QUARANTINING FOR SPAM PREVENTION

Art Unit:

2134

Examiner: Unknown

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications or (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120; or (c) U.S. Patent(s) and published applications(s) since the subject application was filed after June 30, 2003.

Serial No.:	10/378,463	
Filing Date:	3/3/03	

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

- 2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):
 - (a) ___Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
 - (b) ___Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".
 - 3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):
 - (a) ____ Within 3 months of the filing date or date of entry into the National Stage.
 - (b) X Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 50-1063 for consideration of this Statement.

(c) After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.
(1) The required certification is given below, or
(2) Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement, or
(3) Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 50-1063.
(d)After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.
(1) Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(i)(1), or
(2) Charge the fee set forth in 37 C.F.R. 1.17(i)(1) to Deposit Account No. 50-1063.
4. Certification (if applicable)
(a) The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.
(b)The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.
 The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-1063.
Respectfully Submitted,
By Deborah L. Corpus Reg. No. 47,753
24 TH Floor, National City Center 1900 East 9 th Street Cleveland, Ohio 44114 (216) 696-8730
CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8
I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited on the below date with the United States Postal Service with sufficient postage as first class mail in an envelope

addressed to Commissioner for Patents, Alexandria, Virginia 22313-1450.

Date: Aug 17, 2005

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PTO/SB/08A (08-03)

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Substitute for form 1449/PTO SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/779,295		
Filing Date	February 13, 2004		
First Named Inventor	Joshua T. Goodman, et al.		
Art Unit	2134		
Examiner Name	Unknown		
Attorney Docket Number	MS306896.01/MSFTP560US	フ	

	1-00			DOCUMENTS	Decree Ontones I to a 14"
Examiner initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
		^{US-} 2002/199095	12-26-2002	Bandini, et al.	
		^{US-} 6,757,830	06-29-2004	Tarbotton, et al.	
-		^{US-} 6,453,327	09-17-2002	Nielsen	
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		FORE	IGN PATENT DOCU	IMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶
		WO 2004/059506	07-15-2004	Commtouch Software, Ltd.		
						<u>L</u>

Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08B (08-03)

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TRADEM Substitute for form 1449/PTO				Complete if Known		
				Application Number	10/779,295	
INFORMATION DISCLOSURE			CLOSURE	Filing Date	February 13, 2004	
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	Joshua T. Goodman et al.	
(Use as many sheets as necessary)			20000011	Art Unit	2134	
	(Use as many sne	er2 92 11	cessary)	Examiner Name	Unknown	
Sheet	2	of	2	Attorney Docket Number	MS306896.01/MSFTP560US	フ

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	2
		Partial European Search Report, EP33823TE900kap, mailed 6-21-05	

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Examiner		Date Considered	

Examiner	Date	
Signature	 Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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